



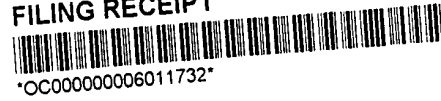
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/802,442	03/09/2001	2881	906	6/081	9	59	8

CONFIRMATION NO. 7245

## FILING RECEIPT



\*OC00000006011732\*

26719  
RIORDAN & MCKINZIE  
300 SOUTH GRAND AVENUE  
29TH FLOOR  
LOS ANGELES, CA 90071

Date Mailed: 04/26/2001

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### Applicant(s)

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Kerry J. Vahala, San Gabriel, CA;  
Peter C. Sercel, Pasadena, CA;

### Assignment For Published Patent Application

CALIFORNIA INSTITUTE OF TECHNOLOGY;  
ALEPH LIGHTGATE CORPORATION;

### Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/188,325 03/09/2000

### Foreign Applications

If Required, Foreign Filing License Granted 04/25/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No



**\*\* SMALL ENTITY \*\***

**Title**

Micro-cavity laser

**Preliminary Class**

372

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**Data entry by : NGUYEN, NGAN**

**Team : OIPE**

**Date: 04/26/2001**





Page 5 of 7

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Title 37, Code of Federal Regulations, 5.11 & 5.15**

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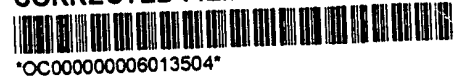
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
60/257,248	12/21/2000		75	ALG03P	20		

23892  
DAVID S ALAVI  
3762 WEST 11TH AVENUE  
#408  
EUGENE, OR 97402

CONFIRMATION NO. 7685  
CORRECTED FILING RECEIPT



\*OC000000006013504\*

Date Mailed: 04/26/2001

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## Applicant(s)

Oskar J. Painter, Pasadena, CA;  
Kerry J. Vahala, San Gabriel, CA;  
Peter C. Sercel, Pasadena, CA;  
Guido Hunziker, Altadena, CA;

If Required, Foreign Filing License Granted 04/25/2001

Projected Publication Date: N/A

Non-Publication Request: No

Early Publication Request: No

**\*\* SMALL ENTITY \*\***

## Title

Modulators for resonant optical power control devices and methods of fabrication and use thereof

Data entry by : STEPHANOS, MENBEREWORK Team : OIPE

Date: 04/26/2001





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